



Docket #6601

DAC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

In re the application of: Yu, et al

Examiner:

Serial No.: 09/683,003

Art Unit:

Filed: November 7, 2001

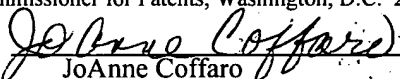
For: Composition and Method

Date: October 15, 2002

The U.S. Patent & Trademark Office
Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this paper, along with any other paper or fee referred to in this paper as being transmitted herewith, is being deposited with the United States Postal Service with sufficient postage as First-Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 15th day of October 2002.

By:


JoAnne Coffaro

Attention: Ms. Shirene Willis

PETITION UNDER 37 CFR 181

(One Month)

Dear Sir:

This is a petition to review the above identified patent application.

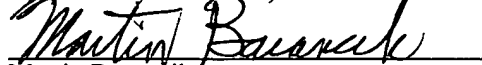
A Notice of Abandonment for the above identified patent application was sent by the Patent Office on October 3, 2002. This abandonment notification was based on the stated failure of applicant to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 12/07/01.

Enclosed are copies of the Response to the Notice to File Missing Parts. These were mailed on March 5, 2002 according to the statement of Ms. Coffaro and received in the USPTO on March 12, 2002 according to the copy of the returned postcard, also enclosed.

Please note that in the papers was a request that the fee for the extension of time be waived since the Notice to file Missing Parts was not received until late February 2002.

Please remove the abandonment of this application and reinstate it for prosecution by an Examiner.

Respectfully submitted,



Martin Barancik
Reg. No. 25,189
COLGATE-PALMOLIVE COMPANY
909 River Road; P.O. Box 1343
Piscataway, NJ 08855-1343
Tel. (732) 878-7492
Attorney of Record

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USSN 09/683,003 Atty: MBB Docket No. IR 6601
Filing Date 11/7/01 Inventor(s) Yu, et al
Title Composition and Method

- ☐ New Patent Application - no U.S. Serial No. yet assigned
☐ C-I-P, Cont or Div Application under 1.53(b)
☒ Certificate of Mailing via *First Class* mailed on 3/5/02
☐ Certificate of Mailing via *Express Mail* # _____ on _____
- ☐ Provisional Application
☐ CPA Under 37 Cfr 1.53(D)

The following has been received in the US Patent Office on the date stamped hereon.

- | | | |
|---|---|--|
| <input type="checkbox"/> Transmittal Letter (in Duplicate) | <input type="checkbox"/> Information Disclosure | <input type="checkbox"/> Supplemental IDS |
| <input type="checkbox"/> New application <input type="checkbox"/> Utility _____ pages <input type="checkbox"/> Design | <input type="checkbox"/> Form 1449 | <input type="checkbox"/> Copies of References |
| <input checked="" type="checkbox"/> Combined Declaration/Power of Atty | <input checked="" type="checkbox"/> Notice of Missing Parts | |
| <input checked="" type="checkbox"/> Executed <input type="checkbox"/> Unexecuted | <input type="checkbox"/> Terminal Disclaimer (in Duplicate) | |
| <input type="checkbox"/> Formal <input type="checkbox"/> Informal Drawings _____ sheet(s) | <input type="checkbox"/> Status Inquiry | |
| <input checked="" type="checkbox"/> Extension of Time for <u>1</u> month(s) (in Duplicate) | <input type="checkbox"/> Request For Corrected Filing Receipt | |
| <input type="checkbox"/> Assignment with transmittal letter | <input type="checkbox"/> Affidavit | <input type="checkbox"/> Interference |
| <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Letter | <input type="checkbox"/> Issue Fee Transmittal (in Duplicate) | |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Notice of Appeal | <input type="checkbox"/> Brief on Appeal <u>(3 copies)</u> |
| <input type="checkbox"/> Supplemental Amendment / Response | <input type="checkbox"/> Supplement to Appeal Brief | |

☒ Other: postcard

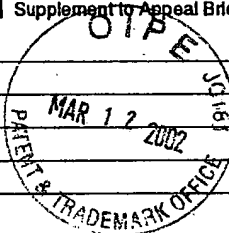
☐ Other: _____

☐ Other: _____

☐ Other: _____

☐ Other: _____

Revised 7/1/98 vts



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The U.S. Patent & Trademark Office
Assistant Commissioner of Patents
Washington, D.C. 20231

1. Petition for Extension of Time (1 Month)
2. Notice of Missing Parts
3. Combined Declaration and Power of Attorney (Executed)
4. Return Receipt Postcard

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

JoAnne Coffaro

JoAnne Coffaro

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Yu, et al Examiner:
Serial No.: 9/683,003 Art Unit:
Filed: November 7, 2001
For: Composition and Method

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The U.S. Patent & Trademark Office
Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this paper, along with any other paper or fee referred to in this paper as being transmitted herewith, is being deposited with the United States Postal Service with sufficient postage as First-Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 5th day of March 2002.

By: JoAnne Coffaro
JoAnne Coffaro

PETITION FOR EXTENSION OF TIME
UNDER 37 CFR 1.136(a)
(One Month)

Dear Sir:

Pursuant to 37 CFR 1.136, it is respectfully requested that the period for replying to the Notice to File Missing Parts of Nonprovisional Application dated December 7, 2001 in the subject application be extended for a period of one month from February 7, 2002 to March 7, 2002. Please charge the \$110.00 fee for the one month extension to Account No. 03-2455.

Please note that while this notice was mailed December 7, 2001 it was not received at Colgate until February 25, 2002 as shown on the enclosed notice. It was received 18 days after the "Due Date" of February 7, 2002. Also enclosed is the envelope, which demonstrates the difficulty this correspondence had in arriving at Colgate. Therefore, I respectfully request that the one month extension fee of \$110.00 be waived for this matter.

The Commissioner is hereby authorized to charge any additional fees that may be required to Account No. 03-2455.

A duplicate of this paper is provided for the charging of fees due.

Respectfully submitted,

Martin Barancik

Martin Barancik
Reg. No. 25,189
COLGATE-PALMOLIVE COMPANY
909 River Road; P.O. Box 1343
Piscataway, NJ 08855-1343
Tel. (732) 878-7492
Attorney of Record

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/683,003	11/07/2001	Shiguang Yu	6601-00

CONFIRMATION NO. 5003

23909
COLGATE-PALMOLIVE COMPANY
909 RIVER ROAD
PISCATAWAY, NJ 08855

FORMALITIES LETTER



OC000000007171680

FEB 25 2002

Date Mailed: 12/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

DOCKETED
DUE DATE 2/7/02
INITIALS VFS

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Raymond Nachreiner
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

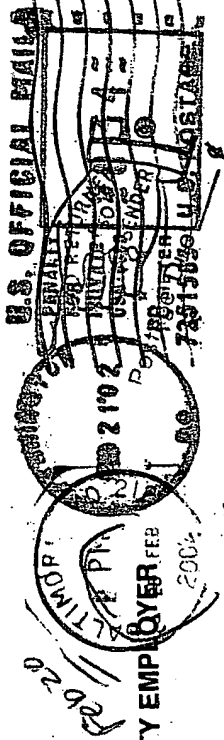
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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Organization _____ Bldg./Room _____

U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

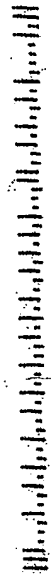
WASHINGTON, D.C. 20231

IF UNDELIVERABLE RETURN IN 10 DAYS

AN EQUAL OPPORTUNITY EMPLOYER

OFFICIAL BUSINESS

PENALTY FOR PRIVATE USE, \$300



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Composition and Method

the specification of which (check one)

- ☐ is attached hereto and is identified as Attorney Docket #<insert number here>
- ☒ was filed **ELECTRONICALLY** on <insert filing date> as Application Serial No. < Insert USSN Here>
- ☐ deposited for filing under Attorney Docket No. <insert atty docket no.> via Express Mail No. <insert exp mail #> ; Serial No. not yet known, and including all the amendments through the date hereof.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATIONS - PRIORITY CLAIMED			
NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	PRIORITY CLAIMED (YES OR NO)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112. I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application

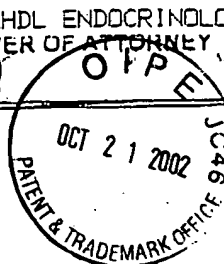
U.S. PRIORITY		
APPLICATION SERIAL NO.	FILING DATE	STATUS-PATENTED, PENDING, ABANDONED

I hereby claim the benefit under Title 35, United States Code 119(e) of any United States provisional applications listed below.

PROVISIONAL PRIORITY		
APPLICATION SERIAL NO. —	FILING DATE	STATUS-PATENTED, PENDING, ABANDONED

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application

or any patent issued thereon.



POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Richard Ancel, Reg. No. 26,438; Bernard Lieberman, Reg. No. 26,194; Michael M. McGreal, Reg. No. 25,356; Richard E. Nanfeldt, Reg. No. 27,050; Paul Shapiro, Reg. No. 22,322; Henry S. Goldfine, Reg. No. 38,468; Martin Barancik, Reg. No. 25,189; Rosemary Miano, Reg. No. 29,674, who will have full power of substitution and revocation.

Send Correspondence To: Colgate-Palmolive Company 909 River Road, P.O. Box 1343 Piscataway, New Jersey 08855-1343		Direct Telephone Calls To: Martin Barancik (732) 878-7492	
FULL NAME OF SOLE OR FIRST INVENTOR Shiguang Yu	INVENTOR'S SIGNATURE 	DATE October 10, 2001	
RESIDENCE 3941 SW Gamwell Road, Topeka, Kansas 66610		OCCUPATION Senior Nutritionist	
CITIZENSHIP China, P.R.		D.O.B. September 27, 1957	
POST OFFICE ADDRESS <input checked="" type="checkbox"/> same as residence (check if applicable) (If different than residence....insert here)			
FULL NAME OF SECOND JOINT INVENTOR Raymond Nachreiner	INVENTOR'S SIGNATURE 	DATE Nov 12, 2001	
RESIDENCE 1826 Walnut Heights, East Lansing, MI 48823		OCCUPATION Veterinarian	
CITIZENSHIP U.S.		D.O.B. April 29, 1942	
POST OFFICE ADDRESS <input checked="" type="checkbox"/> same as residence (check if applicable) (If different than residence....insert here)			
FULL NAME OF THIRD JOINT INVENTOR Claudia Ann Kirk	INVENTOR'S SIGNATURE 	DATE Oct 15, 2001	
RESIDENCE 820 East 1000 Road, Lawrence, Kansas 66047		OCCUPATION Veterinarian	
CITIZENSHIP U.S.		D.O.B. November 22, 1955	
POST OFFICE ADDRESS <input checked="" type="checkbox"/> same as residence (check if applicable) (If different than residence....insert here)			

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OCT 21 2002

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Send Correspondence

Colgate-Palmolive Company
909 River Road, P.O. Box 1845
Piscataway, New Jersey 08855-1343

Direct Telephone Calls To: Martin Barancik

(732) 878-7492

FULL NAME OF FOURTH
JOINT INVENTOR

Karen J. Wedekind

INVENTOR'S SIGNATURE

Karen J. Wedekind

DATE

10-10-01

RESIDENCE

197 N Palmberg, Meriden, Kansas 66512

OCCUPATION

Nutritionist

CITIZENSHIP

U.S.

D.O.B.

March 18, 1961

POST OFFICE ADDRESS ☒ same as residence (check if applicable)
(If different than residence....insert here)